

## STIC Database Tracking Number:

**To: Thomas Mansfield**  
**Location: Knox 5A75**  
**Art Unit: 3624**  
**Date: March 29, 2010**  
**Case Serial Number:**  
**10/ 690,657**

**From: Caryn Wesner-Early**  
**Location: EI C3600**  
**KNX 4B59**  
**Phone: (571) 272-3543**  
**caryn.wesner-**  
**early@uspto.gov**

## Search Notes

Dear Examiner Mansfield:

Please find attached the results of your search for the above-referenced case. The search was conducted in the template files.

I have listed references of *potential* interest in the first part of the search results. However, please be sure to scan through the entire report. There may be additional references that you might find useful.

If you have any questions about the search, or need a refocus, please do not hesitate to contact me.

Thank you for using the EIC, and we look forward to your next search!

Caryn S. Wesner-Early, MSLS  
ASRC Technical Information Specialist  
EIC 3600, US Patent & Trademark Office

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## **I. References of Potential Interest**

Dialog

9/3,K/4 (Item 1 from file: 6)  
DIALOG(R)File 6:NTIS  
(c) 2010 NTIS, Intl Cpyrght All Rights Res. All rts. reserv.

2252938 NTIS Accession Number: ADA407766/XAB  
Using CMMI to Improve Earned Value Management (Technical note)  
Solomon, P.  
Carnegie-Mellon University, Pittsburgh, PA. Software Engineering Inst.  
Corp. Source Codes: 005343014; 416208  
Report Number: CMU/SEI-2002-TN-016  
Oct 2002 34p  
Languages: English  
Journal Announcement: USGRDR0307  
Hard copy only. Product reproduced from digital image. Order this product from NTIS by: phone at 1-800-553-NTIS (U.S. customers); (703)605-6000 (other countries); fax at (703)605-6900; and email at orders@ntis.gov. NTIS is located at 5285 Port Royal Road, Springfield, VA, 22161, USA.  
NTIS Prices: PC A04/MF A01  
For organizations using Earned Value Management (EVM) or that plan to implement EVM during Capability Maturity Model Integration (CMMI) implementation, this technical note provides guidance for cost-effective process improvement and appraisal. Mapping and comparison tables between CMMI and the U. S. national standard on EVM are provided. These tables can be used to identify practices within CMMI that are not included in the EVM standard but, if added to an organization's processes, will strengthen adherence to EVM principles. The tables also can be used to develop instruments that will provide...  
  
... typical work products, and examples are included in this technical note to aid those using CMMI for process improvement. For organizations using technical performance measurement, a primary base measure for earned...

9/3,K/5 (Item 1 from file: 2)  
DIALOG(R)File 2:INSPEC  
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07818660  
Title: A process model for certification of product and process  
Author(s): Leung, H.; Li, V.  
Author Affiliation: Dept. of Comput., Hong Kong Polytech., Hung Hom, China  
Book Title: Challenges of Information Technology Management in the 21st

Century. 2000 Information Resources Management Association International Conference  
Inclusive Page Numbers: 96-102

Publisher: Idea Group Publishing, Hershey, PA

Country of Publication: USA

Publication Date: 2000

Conference Title: Proceedings of 2000 Information Resources Management  
Association International Conference

Conference Date: 21-24 May 2000

Conference Location: Anchorage, AK, USA

ISBN: 1 878289 84 5

Number of Pages: 1227

Language: English

Subfile(s): C (Computing & Control Engineering)

INSPEC Update Issue: 2001-003

Copyright: 2001, IEE

Abstract: ...the software product itself. There are many different certification schemes, such as ISO 9001 and CMM for development process, and Y2K compliance for software product. The paper first identifies two process models, one for process certification and another for product certification. We then propose a certification process for a commercial off-the-shelf (COTS) product and its development process. Finally, a generalized model of certification process (GCM) for both product certification and development process certification is developed. Example certification schemes are then mapped to this model to illustrate its validity...

...basis to develop a certification scheme for particular application domains or to validate a particular certification process

## **II. Inventor Search Results from Dialog**

? show files;ds;cost;logoff hold

File 471:New York Times Fulltext 1980-2010/Mar 26

(c) 2010 The New York Times

File 583:Gale Group Globalbase(TM) 1986-2002/Dec 13

(c) 2002 Gale/Cengage

File 474:New York Times Abs 1969-2010/Mar 29

(c) 2010 The New York Times

File 475:Wall Street Journal Abs 1973-2010/Mar 29

(c) 2010 The New York Times

File 35:Dissertation Abs Online 1861-2010/Feb

(c) 2010 ProQuest Info&Learning

File 65:Inside Conferences 1993-2010/Mar 17

(c) 2010 BLDSC all rts. reserv.

File 99:Wilson Appl. Sci & Tech Abs 1983-2010/Jan

(c) 2010 The HW Wilson Co.

File 256:TecTrends 1982-2010/Mar W4

(c) 2010 Info.Sources Inc. All rights res.

File 14:Mechanical and Transport Engineer Abstract 1966-2010/Feb

(c) 2010 CSA.

File 6:NTIS 1964-2010/Mar W4

(c) 2010 NTIS, Intl Cpyrght All Rights Res

File 8:Ei Compendex(R) 1884-2010/Mar W3

(c) 2010 Elsevier Eng. Info. Inc.

File 2:INSPEC 1898-2010/Mar W3

(c) 2010 The IET

File 34:SciSearch(R) Cited Ref Sci 1990-2010/Mar W3

(c) 2010 The Thomson Corp

File 434:SciSearch(R) Cited Ref Sci 1974-1989/Dec

(c) 2006 The Thomson Corp

File 7:Social SciSearch(R) 1972-2010/Mar W3

(c) 2010 The Thomson Corp

File 634:San Jose Mercury Jun 1985-2010/Mar 26

(c) 2010 San Jose Mercury News

File 610:Business Wire 1999-2010/Mar 29

(c) 2010 Business Wire.

File 613:PR Newswire 1999-2010/Mar 29

(c) 2010 PR Newswire Association Inc

File 810:Business Wire 1986-1999/Feb 28

(c) 1999 Business Wire

File 813:PR Newswire 1987-1999/Apr 30

(c) 1999 PR Newswire Association Inc

File 20:Dialog Global Reporter 1997-2010/Mar 29

(c) 2010 Dialog

File 9:Business & Industry(R) Jul/1994-2010/Mar 27

(c) 2010 Gale/Cengage  
File 15:ABI/Inform(R) 1971-2010/Mar 27  
(c) 2010 ProQuest Info&Learning  
File 16:Gale Group PROMT(R) 1990-2010/Mar 27  
(c) 2010 Gale/Cengage  
File 148:Gale Group Trade & Industry DB 1976-2010/Mar 27  
(c) 2010 Gale/Cengage  
File 160:Gale Group PROMT(R) 1972-1989  
(c) 1999 The Gale Group  
File 275:Gale Group Computer DB(TM) 1983-2010/Feb 17  
(c) 2010 Gale/Cengage  
File 621:Gale Group New Prod.Annou.(R) 1985-2010/Feb 08  
(c) 2010 Gale/Cengage  
File 636:Gale Group Newsletter DB(TM) 1987-2010/Feb 23  
(c) 2010 Gale/Cengage  
File 624:McGraw-Hill Publications 1985-2010/Mar 26  
(c) 2010 McGraw-Hill Co. Inc  
File 120:U.S. Copyrights 1978-2010/Mar 23  
(c) format only 2010 Dialog  
File 426:LCMARC-Books 1968-2010/Mar W3  
(c) format only 2010 Dialog  
File 430:British Books in Print 2007/Jan W3  
(c) 2007 J. Whitaker & Sons Ltd.  
File 483:Newspaper Abs Daily 1986-2010/Mar 29  
(c) 2010 ProQuest Info&Learning  
File 347:JAPIO Dec 1976-2009/Nov(Updated 100228)  
(c) 2010 JPO & JAPIO  
File 348:EUROPEAN PATENTS 1978-201012  
(c) 2010 European Patent Office  
File 349:PCT FULLTEXT 1979-2010/UB= 20100325| UT= 20100318  
(c) 2010 WIPO/Thomson  
File 350:Derwent WPIX 1963-2010/UD= 201021  
(c) 2010 Thomson Reuters  
File 371:French Patents 1961-2002/BOPI 200209  
(c) 2002 INPI. All rts. reserv.

Set	Items	Description
S1	3981	AU=(LIGON, S? OR LIGON S? OR LIGON(2N)(STEVEN OR STEVE) OR GAITHER, W? OR GAITHER W? OR GAITHER(2N)(WILLIAM OR WILL OR B-ILL) OR BARRON, D? OR BARRON D? OR BARRON(2N)(DOUGLAS OR DOUG-))
S2	111	S1 FROM 347,348,349,350,371
S3	3	MATURITY()(MODEL OR MODELS OR MODELING OR MODELLING) OR CMM OR CMMI OR FAA()ICMM OR PEMM OR KMMM OR PMMM OR EAMM
S4	3	S2 AND S3
S5	13	ISO OR ANSI OR ITU OR CCITT OR IISP OR ISPIC OR (GOVERNMENT?? OR INTERNATIONAL OR NATIONAL OR US OR UNITED()STATES)(1N)-(REQUIREMENT OR REQUIREMENTS OR STANDARD OR STANDARDS OR SPEC-

IFICATIONS OR SPECS OR CRITERIA OR CRITERION OR OBJECTIVES)

S6	7	S2 AND S5
S7	8	S4 OR S6
S8	8	IDPAT (sorted in duplicate/non-duplicate order)
S9	8	IDPAT (primary/non-duplicate records only)
S10	3870	S1 NOT S2
S11	6	S5 AND S10
S12	5	RD (unique items)
S13	13	S9 OR S12

13/AA,AN,AZ,AU,TI/1 (Item 1 from file: 2)  
 DIALOG(R)File 2:(c) 2010 The IET. All rts. reserv.  
 06634131  
 Title: Portable documents: problems and (partial) solutions  
 Author(s): Barron, D.W.

13/AA,AN,AZ,AU,TI/2 (Item 2 from file: 2)  
 DIALOG(R)File 2:(c) 2010 The IET. All rts. reserv.  
 05883515  
 Title: Why use HyTime?  
 Author(s): Carr, L.A.; Barron, D.W.; Davis, H.C.; Hall, W.

13/AA,AN,AZ,AU,TI/3 (Item 3 from file: 2)  
 DIALOG(R)File 2:(c) 2010 The IET. All rts. reserv.  
 04446698  
 Title: Why use SGML?  
 Author(s): Barron, D.

13/AA,AN,AZ,AU,TI/4 (Item 1 from file: 34)  
 DIALOG(R)File 34:(c) 2010 The Thomson Corp. All rts. reserv.  
 19470937  
 Title: Metabolite Profiling of Hydroxycinnamate Derivatives in Plasma and Urine after the Ingestion of Coffee by Humans: Identification of Biomarkers of Coffee Consumption  
 Author: Stalmach A; Mullen W; Barron D; Uchida K; Yokota T; Cavin C; Steiling H; Williamson G; Crozier A (REPRINT)

13/AA,AN,AZ,AU,TI/5 (Item 1 from file: 148)  
 DIALOG(R)File 148:(c) 2010 Gale/Cengage. All rts. reserv.  
 05497397 SUPPLIER NUMBER: 11467022  
 Macintosh databases: getting your workhorse to work for you. (networked database programs for Macintosh)  
 Barron, Donna

13/AA,AN,AZ,AU,TI/6 (Item 1 from file: 349)  
DIALOG(R)File 349:(c) 2010 WIPO/Thomson. All rts. reserv.  
01118514  
DETERMINING PERFORMANCE LEVEL CAPABILITIES USING PREDETERMINED MODEL  
CRITERIA  
SYSTEME ET PROCEDE DESTINES A DETERMINER DES CAPACITES DE NIVEAU DE  
PERFORMANCE SELON DES CRITERES DE MODELES PREDETERMINES  
Inventor(s):  
LIGON Steven R, 127 Connemara Drive, Sterling, VA 20164, US,  
GAITHER William R, 3184 Harvard Street, Woodbridge, VA 22192, US,  
BARRON Douglas S, 11653 Captain Rhett Lane, Fairfax Station, VA 22039, US,  
Application: WO 2003US33576 20031023 (PCT/WO US03033576)

13/AA,AN,AZ,AU,TI/7 (Item 2 from file: 349)  
DIALOG(R)File 349:(c) 2010 WIPO/Thomson. All rts. reserv.  
01028561  
INTEGRATING EVENT-BASED PRODUCTION INFORMATION WITH FINANCIAL AND  
PURCHASING SYSTEMS IN PRODUCT MANUFACTURING  
INTEGRATION D'INFORMATIONS DE PRODUCTION A BASE D'EVENEMENTS AVEC  
SYSTEMES FINANCIER ET D'ACHAT DANS LA FABRICATION DE PRODUITS  
Inventor(s):  
POKORNY Michael Roy, 228 Kraft Street, Neenah, WI 54956, US,  
BARBER Douglas Gordon Barron, 2445 West Cherokee Drive

13/AA,AN,AZ,AU,TI/8 (Item 3 from file: 349)  
DIALOG(R)File 349:(c) 2010 WIPO/Thomson. All rts. reserv.  
01028560  
COMMUNICATION BETWEEN MACHINES AND FEED-FORWARD CONTROL IN EVENT-  
BASED PRODUCT MANUFACTURING  
COMMUNICATION ENTRE DES MACHINES ET COMMANDE D'AVANCEE PERMETTANT  
DE FABRIQUER DES PRODUITS EN FONCTION D'EVENEMENTS  
Inventor(s):  
MARKHAM Charles Earl, N210 Liberty Lane, Appleton, WI 54915, US,  
BARBER Douglas Gordon Barron, 2445 West Cherokee Drive,

13/AA,AN,AZ,AU,TI/9 (Item 4 from file: 349)  
DIALOG(R)File 349:(c) 2010 WIPO/Thomson. All rts. reserv.  
01028541  
QUALITY MANAGEMENT AND INTELLIGENT MANUFACTURING WITH LABELS AND SMART  
TAGS IN EVENT-BASED PRODUCT MANUFACTURING  
GESTION DE LA QUALITE ET FABRICATION INTELLIGENTE AU MOYEN D'ETIQUETTES  
FIXES ET D'ETIQUETTES AMOVIBLES INTELLIGENTES DANS LA FABRICATION DE  
PRODUITS COMMANDEE PAR DES EVENEMENTS  
Inventor(s):  
BARBER Douglas Gordon Barron, 2445 West Cherokee Drive,



13/AA,AN,AZ,AU,TI/10 (Item 5 from file: 349)  
DIALOG(R)File 349:(c) 2010 WIPO/Thomson. All rts. reserv.  
01028540  
QUALITY MANAGEMENT BY VALIDATING A BILL OF MATERIALS IN EVENT-BASED  
PRODUCT MANUFACTURING  
GESTION DE LA QUALITE PAR VALIDATION D'UNE NOMENCLATURE DE MATERIAUX  
DANS LA FABRICATION DE PRODUITS FONDEE SUR LES EVENEMENTS  
Inventor(s):  
MARKHAM Charles Earl, N210 Liberty Lane, Appleton, WI 54915, US,  
BARBER Douglas Gordon Barron, 2445 West Cherokee Drive,

13/AA,AN,AZ,AU,TI/11 (Item 6 from file: 349)  
DIALOG(R)File 349:(c) 2010 WIPO/Thomson. All rts. reserv.  
01028524  
USER INTERFACE FOR REPORTING EVENT-BASED PRODUCTION INFORMATION IN  
PRODUCT MANUFACTURING  
INTERFACE UTILISATEUR POUR LE RAPPORT D'INFORMATIONS DE PRODUCTION  
FONDEE SUR LES EVENEMENTS DANS LA FABRICATION DE PRODUITS  
Inventor(s):  
POKORNY Michael Roy, 228 Kraft Street, Neenah, WI 54956, US,  
BARBER Douglas Gordon Barron, 2445 West Cherokee Drive,

13/AA,AN,AZ,AU,TI/12 (Item 1 from file: 350)  
DIALOG(R)File 350:(c) 2010 Thomson Reuters. All rts. reserv.  
0014214679  
WPI ACC NO: 2004-400425/  
Adaptive filtering method for e.g. direct sequence-CDMA system, involves  
determining filter coefficients using parameters of modified steering  
vector of desired signal, and discrete time estimate of multipath channel effect  
Original Titles:  
ADAPTIVE FILTERING IN THE PRESENCE OF MULTIPATH  
System and method for determining performance level capabilities in view of  
predetermined model criteria  
Adaptive filtering in the presence of multipath  
FILTRAGE ADAPTATIF EN PRESENCE D'UNE VOIE A PROPAGATION PAR TRAJETS  
MULTIPLES  
Local Applications (No Type Date): WO 2003US33554 A 20031022; US  
2002421101 P 20021025; US 2003690657 A 20031023; US 2002421101 P  
20021025; US 2003689765 A 20031022; AU 2003286602 A 20031022; AU  
2003286602 A 20031022; US 2002421101 P 20021025; US 2003689765 A  
20031022  
Priority Applications (no., kind, date): US 2002421101 P 20021025; US  
2003689765 A 20031022; US 2003690657 A 20031023

13/AA,AN,AZ,AU,TI/13 (Item 2 from file: 350)

DIALOG(R)File 350:(c) 2010 Thomson Reuters. All rts. reserv.  
0014204759

WPI ACC NO: 2004-390377/

Company maturity level approximating method for business practice, involves tracing company-specific work products to individual necessities of maturity model, and giving indicator of approximate maturity of company based on model

Original Titles:

SYSTEM AND METHOD FOR DETERMINING PERFORMANCE LEVEL CAPABILITIES IN VIEW OF PREDETERMINED MODEL CRITERIA

Local Applications (No Type Date): WO 2003US33576 A 20031023; AU 2003282996 A 20031023; AU 2003282996 A 20031023

Priority Applications (no., kind, date): US 2002421065 P 20021025

13/3,K/6 (Item 1 from file: 349)  
DIALOG(R)File 349:PCT FULLTEXT  
(c) 2010 WIPO/Thomson. All rts. reserv.

01118514 \*\*Image available\*\*

DETERMINING PERFORMANCE LEVEL CAPABILITIES USING PREDETERMINED MODEL CRITERIA

SYSTEME ET PROCEDE DESTINES A DETERMINER DES CAPACITES DE NIVEAU DE PERFORMANCE SELON DES CRITERES DE MODELES PREDETERMINEES

Patent Applicant/Assignee:

SCIENCE APPLICATIONS INTERNATIONAL CORPORATION, 10260 Campus Point Drive,  
San Diego, CA 92121, US, US (Residence), US (Nationality)

Inventor(s):

LIGON Steven R, 127 Connemara Drive, Sterling, VA 20164, US,  
GAITHER William R, 3184 Harvard Street, Woodbridge, VA 22192, US,  
BARRON Douglas S, 11653 Captain Rhett Lane, Fairfax Station, VA 22039, US,

Legal Representative:

MARCOU George (agent), Kilpatrick Stockton LLP, 607 Fourteenth St., N.W.,  
Suite 900, Washington, DC 20005, US,

Patent and Priority Information (Country, Number, Date):

Patent: WO 200440409 A2-A3 20040513 (WO 0440409)

Application: WO 2003US33576 20031023 (PCT/WO US03033576)

Priority Application: US 2002421065 20021025

Designated States:

(Protection type is "patent" unless otherwise stated - for applications prior to 2004)

AE AG AL AM AT AU AZ BA BB BG BR BY BZ CA CH CN CO CR CU CZ DE DK DM DZ  
EC EE ES FI GB GD GE GH GM HR HU ID IN IS JP KE KG KP KR KZ LC LK LR LS  
LT LU LV MA MD MG MK MN MW MX MZ NI NO NZ OM PH PL PT RO RU SC SD SE SG  
SK SL TJ TM TN TR TT TZ UA UG UZ VC VN YU ZA ZM ZW

(EP) AT BE BG CH CY CZ DE DK EE ES FI FR GB GR HU IE IT LU MC NL PT SE SI SK TR

(OA) BF BJ CF CG CI CM GA GN GQ GW ML MR NE SN TD TG

(AP) GH GM KE LS MW MZ SD SL SZ TZ UG ZM ZW

(EA) AM AZ BY KG KZ MD RU TJ TM

Publication Language: English

Filing Language: English

Fulltext Word Count: 7493

Fulltext Availability:

Detailed Description

Claims

English Abstract

...tracing a company's work products to the equivalent work product element requirements for individual maturity models in order to ascertain an approximate determination of the maturity level of a particular maturity model that has been achieved by the company. The maturity models included, for example, CMM -based models, or maturity model equivalents such as, contractor operational processes and ISO (International Standards Organization) requirements.

## Detailed Description

... the Invention

[0002] The invention is drawn generally to the certification of business practices through maturity models and more particularly to the tracing of a company's practices to the those of at least one designated maturity model.

## < removed unnecessary information >

16 The system according to claim 15, wherein the system approximates the maturity of the company in view of at least two maturity models.

13/3,K/12 (Item 1 from file: 350)  
DIALOG(R)File 350:Derwent WPIX  
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0014214679 - Drawing available  
WPI ACC NO: 2004-400425/200437  
XRPX Acc No: N2004-319212

Adaptive filtering method for e.g. direct sequence-CDMA system, involves determining filter coefficients using parameters of modified steering vector of desired signal, and discrete time estimate of multipath channel effect  
Patent Assignee: BARRON D S (BARR-I); GAITHER W R (GAIT-I); LIGON S R (LIGO-I); MYRICK W (MYRI-I); SCI APPL INT CORP (SCIT-N); SUD S (SUDS-I)  
Inventor: BARRON D S; GAITHER W R; LIGON S R; MYRECK W L; MYRICK W; SUD S  
Patent Family (7 patents, 100 countries)

Patent Number	Kind	Date	Application Number	Kind	Date	Update
WO 2004040779	A2	20040513	WO 2003US33554	A	20031022	200437 B
US 20040098299	A1	20040520	US 2002421101	P	20021025	200437 E
			US 2003690657	A	20031023	
US 20040151266	A1	20040805	US 2002421101	P	20021025	200452 E
			US 2003689765	A	20031022	
AU 2003286602	A1	20040525	AU 2003286602	A	20031022	200468 E
WO 2004040779	A3	20071221			200802	E
AU 2003286602	A8	20080207	AU 2003286602	A	20031022	200837 E
US 7415065	B2	20080819	US 2002421101	P	20021025	200857 E
			US 2003689765	A	20031022	

Priority Applications (no., kind, date): US 2002421101 P 20021025; US 2003689765 A 20031022; US 2003690657 A 20031023

## Patent Details

Number	Kind	Lan	Pg	Dwg	Filing	Notes
WO 2004040779	A2	EN	20	5		

National Designated States,Original: AE AG AL AM AT AU AZ BA BB BG BR BY  
BZ CA CH CN CO CR CU CZ DE DK DM DZ EC EE ES FI GB GD GE GH GM HR HU ID  
IN IS JP KE KG KP KR KZ LC LK LR LS LT LU LV MA MD MG MK MN MW MX MZ NI

NO NZ OM PH PL PT RO RU SC SD SE SG SK SL TJ TM TN TR TT TZ UA UG UZ VC  
VN YU ZA ZM ZW

Regional Designated States,Original: AT BE BG CH CY CZ DE DK EA EE ES FI  
FR GB GH GM GR HU IE IT KE LS LU MC MW MZ NL OA PT RO SD SE SI SK SL SZ  
TR TZ UG ZM ZW

US 20040098299 A1 EN Related to Provisional US 2002421101

US 20040151266 A1 EN Related to Provisional US 2002421101

AU 2003286602 A1 EN Based on OPI patent WO 2004040779

WO 2004040779 A3 EN

National Designated States,Original: AE AG AL AM AT AU AZ BA BB BG BR BY  
BZ CA CH CN CO CR CU CZ DE DK DM DZ EC EE ES FI GB GD GE GH GM HR HU ID  
IN IS JP KE KG KP KR KZ LC LK LR LS LT LU LV MA MD MG MK MN MW MX MZ NI  
NO NZ OM PH PL PT RO RU SC SD SE SG SK SL TJ TM TN TR TT TZ UA UG UZ VC  
VN YU ZA ZM ZW

Regional Designated States,Original: AT BE BG CH CY CZ DE DK EA EE ES FI  
FR GB GH GM GR HU IE IT KE LS LU MC MW MZ NL OA PT RO SD SE SI SK SL SZ  
TR TZ UG ZM ZW

AU 2003286602 A8 EN Based on OPI patent WO 2004040779

US 7415065 B2 EN Related to Provisional US 2002421101

Examiner:

Original Abstracts:

...tracing a company's work products to the equivalent work product element requirements for individual maturity models in order to ascertain an approximate determination of the maturity level of a particular maturity model that has been achieved by the company. The maturity models included, for example, CMM -based models, or maturity model equivalents such as, contractor operational processes and ISO (International Standards Organization) requirements...

Claims:

...A method for approximating the maturity of a company in view of at least one maturity model comprising:establishing generalized work products;relating individual requirements of the at least one maturity model to the generalized work products;providing company-specific work products;associating at least some of...

...tracing the company-specific work products to the individual requirements of the at least one maturity model through the association of the at least some company-specific work products with at least...

...indicator of the approximate maturity of the company in view of the at least one maturity model.

### **III. Text Search Results from Dialog - Patents**

#### **A. Abstract Databases**

? show files;ds;cost;logoff hold

File 347:JAPIO Dec 1976-2009/Nov(Updated 100228)

(c) 2010 JPO & JAPIO

File 350:Derwent WPIX 1963-2010/UD= 201021

(c) 2010 Thomson Reuters

File 371:French Patents 1961-2002/BOPI 200209

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Set	Items	Description
S1	549	MATURITY()(MODEL OR MODELS OR MODELING OR MODELLING) OR CMM OR CMMI OR FAA()ICMM OR PEMM OR KMMM OR PMMM OR EAMM
S2	549	MATURITY()(MODEL OR MODELS OR MODELING OR MODELLING) OR CMM OR CMMI OR FAA()ICMM OR PEMM OR KMMM OR PMMM OR EAMM
S3	376	PROCESS OR PROCESSES OR PRACTICE OR PRACTICES OR SYSTEM OR SYSTEMS OR OPERATION OR OPERATIONS OR PROGRAM OR PROGRAMS OR - PROCEDURE OR PROCEDURES
S4	39	CERTIFICATION OR CERTIFY??? OR CERTIFIES OR CERTIFIED OR C- COMPLIANCE OR COMPLY??? OR COMPLIES OR COMPLIED OR ADHERENCE OR ADHERING OR CONFORM?
S5	24	ISO OR ANSI OR ITU OR CCITT OR IISP OR ISPIC OR (GOVERNMENT?? OR INTERNATIONAL OR NATIONAL OR US OR UNITED()STATES)(1N)- (REQUIREMENT OR REQUIREMENTS OR STANDARD OR STANDARDS OR SPECIFICATIONS OR SPECS OR CRITERIA OR CRITERION OR OBJECTIVES)
S6	14	S3(2N)S4
S7	0	S2(S)S5(S)S6
S8	1	S2 AND S5 AND S6
S9	1	S2(S)S3(S)S4(S)S5
S10	27	S2(S)(S5 OR S6)
S11	13	S10 AND IC= (G06F OR G06Q)
S12	13	S8 OR S9 OR S11
S13	13	IDPAT (sorted in duplicate/non-duplicate order)
S14	13	IDPAT (primary/non-duplicate records only)

14/AN,AZ,TI/1 (Item 1 from file: 350)

DIALOG(R)File 350:(c) 2010 Thomson Reuters. All rts. reserv.

0019683579

Web application developing method, involves including construction cycles in design cycles, where application development process conforms software engineering institute software capability maturity model integrated level three requirements

Original Titles:

Method for application development

Local Applications (No Type Date): US 200881982 A 20080424  
Priority Applications (no., kind, date): US 200881982 A 20080424

14/AN,AZ,TI/2 (Item 2 from file: 350)  
DIALOG(R)File 350:(c) 2010 Thomson Reuters. All rts. reserv.  
0017441427  
Checklist processing system for measuring adherence to organizational  
standard software process, has adherence value generation system  
calculating adherence value for checklist based on all weights awarded in checklist  
Original Titles:  
SYSTEM AND METHOD FOR EVALUATING ADHERENCE TO A STANDARDIZED PROCESS  
Local Applications (No Type Date): US 2006465563 A 20060818  
Priority Applications (no., kind, date): US 2006465563 A 20060818

14/AN,AZ,TI/3 (Item 3 from file: 350)  
DIALOG(R)File 350:(c) 2010 Thomson Reuters. All rts. reserv.  
0017384734  
V-process software life-cycle managing method for information technology  
industry, involves configuring software lifecycle management tool using  
requirements document and formulated design, and creating functions and procedures  
Original Titles:  
SYSTEM AND METHOD FOR SOFTWARE LIFECYCLE MANAGEMENT  
Local Applications (No Type Date): US 2006557980 A 20061109; IN  
2006CH1355 A 20060731  
Priority Applications (no., kind, date): IN 2006CH1355 A 20060731

14/AN,AZ,TI/4 (Item 4 from file: 350)  
DIALOG(R)File 350:(c) 2010 Thomson Reuters. All rts. reserv.  
0017285489  
Document-control apparatus e.g. for international standards organization  
document has model selection unit to select user's desired document group  
from displayed model  
Local Applications (No Type Date): JP 2006153984 A 20060601  
Priority Applications (no., kind, date): JP 2006153984 A 20060601

14/AN,AZ,TI/5 (Item 5 from file: 350)  
DIALOG(R)File 350:(c) 2010 Thomson Reuters. All rts. reserv.  
0016985675  
Information processing apparatus e.g. computer, for use in e.g. task  
cooperation processing system, has task execution application resuming  
paused task cooperation processing flow, and execution file loaded into memory  
Original Titles:  
Information processing device and method  
Vorrichtung und Verfahren zur Informationsverarbeitung

Appareil et procede de traitement d'informations

INFORMATION PROCESSING APPARATUS AND METHOD

INFORMATION PROCESSING APPARATUS AND METHOD

Local Applications (No Type Date): US 2007686486 A 20070315; JP 200681488

A 20060323; EP 2007104606 A 20070321; CN 200710090023 A 20070323

Priority Applications (no., kind, date): JP 200681488 A 20060323

14/AN,AZ,TI/6 (Item 6 from file: 350)

DIALOG(R)File 350:(c) 2010 Thomson Reuters. All rts. reserv.

0015924694

Interactive application e.g. teleprocedure, developing system for e.g. electronic-government field, has engine executing prototype, teleprocedure, interfacing and teleprocedure management applications created by graphics editor  
Original Titles:

System und Verfahren zur Entwicklung einer interaktiven Anwendung,  
Fernverfahren genannt, zur papierlosen Abwicklung von Verwaltungsprozessen

System and method for the development of an interactive application, known  
as teleprocedure, for the dematerialisation of administrative procedures

Systeme et procede de developpement d'une application interactive, dite  
teleprocedure, pour la dematerialisation des procedures administratives

Local Applications (No Type Date): FR 200413373 A 20041215; EP 2005292645

A 20051213

Priority Applications (no., kind, date): FR 200413373 A 20041215

14/AN,AZ,TI/7 (Item 7 from file: 350)

DIALOG(R)File 350:(c) 2010 Thomson Reuters. All rts. reserv.

0015870304

Development program management method for product, involves defining rights  
to access and manage more product objects such that rights are individually  
defined for each object level user

Original Titles:

Critical parameter/requirements management process and environment

Local Applications (No Type Date): US 20041260 A 20041201

Priority Applications (no., kind, date): US 20041260 A 20041201

14/AN,AZ,TI/8 (Item 8 from file: 350)

DIALOG(R)File 350:(c) 2010 Thomson Reuters. All rts. reserv.

0015500342

Inspection method for manufactured product involves interrogating  
manufactured version of product based on integrated inspection plan, and  
merging data package from CMM with native CAD platform

Original Titles:

Computer aided quality assurance software system

Local Applications (No Type Date): US 2004873720 A 20040621; US

2004873720 A 20040621



Priority Applications (no., kind, date): US 2004873720 A 20040621

14/AN,AZ,TI/9 (Item 9 from file: 350)

DIALOG(R)File 350:(c) 2010 Thomson Reuters. All rts. reserv.

0015084560

Software management process development validation method, involves selecting ith level of maturity model, and reviewing rating assessing level of maturity in project and deliverables linked with key process area of jth sub-level

Original Titles:

Method for validating software development maturity

Local Applications (No Type Date): US 2002194168 A 20020712; US 200540788 A 20050120

Priority Applications (no., kind, date): US 2002194168 A 20020712; US 200540788 A 20050120

14/AN,AZ,TI/10 (Item 10 from file: 350)

DIALOG(R)File 350:(c) 2010 Thomson Reuters. All rts. reserv.

0014214679

Adaptive filtering method for e.g. direct sequence-CDMA system, involves determining filter coefficients using parameters of modified steering vector of desired signal, and discrete time estimate of multipath channel effect

Original Titles:

ADAPTIVE FILTERING IN THE PRESENCE OF MULTIPATH

System and method for determining performance level capabilities in view of predetermined model criteria

Adaptive filtering in the presence of multipath

FILTRAGE ADAPTATIF EN PRESENCE D'UNE VOIE A PROPAGATION PAR TRAJETS MULTIPLES

Local Applications (No Type Date): WO 2003US33554 A 20031022; US 2002421101 P 20021025; US 2003690657 A 20031023; US 2002421101 P 20021025; US 2003689765 A 20031022; AU 2003286602 A 20031022; AU 2003286602 A 20031022; US 2002421101 P 20021025; US 2003689765 A 20031022

Priority Applications (no., kind, date): US 2002421101 P 20021025; US 2003689765 A 20031022; US 2003690657 A 20031023

14/AN,AZ,TI/11 (Item 11 from file: 350)

DIALOG(R)File 350:(c) 2010 Thomson Reuters. All rts. reserv.

0014204759

Company maturity level approximating method for business practice, involves tracing company-specific work products to individual necessities of maturity model, and giving indicator of approximate maturity of company based on model

Original Titles:

SYSTEM AND METHOD FOR DETERMINING PERFORMANCE LEVEL CAPABILITIES IN VIEW OF PREDETERMINED MODEL CRITERIA

Local Applications (No Type Date): WO 2003US33576 A 20031023; AU

2003282996 A 20031023; AU 2003282996 A 20031023  
Priority Applications (no., kind, date): US 2002421065 P 20021025

14/AN,AZ,TI/12 (Item 12 from file: 350)  
DIALOG(R)File 350:(c) 2010 Thomson Reuters. All rts. reserv.  
0014074306  
Decision analysis system for personal/asset security management, has  
decision group which organizes decision analysis process for decision task,  
by identifying decision analysis components and supplying appropriate  
models for each component  
Original Titles:  
DECISION ANALYSIS SYSTEM AND METHOD  
SYSTEME ET PROCEDE D'ANALYSE DECISIONNELLE  
Local Applications (No Type Date): WO 2003US27320 A 20030829; US  
2002407550 P 20020830; US 2002409728 P 20020911; US 2003651387 A  
20030829; AU 2003268339 A 20030829; WO 2003US27320 A 20030829  
Priority Applications (no., kind, date): US 2002407550 P 20020830; US  
2002409728 P 20020911; US 2003651387 A 20030829

14/AN,AZ,TI/13 (Item 13 from file: 350)  
DIALOG(R)File 350:(c) 2010 Thomson Reuters. All rts. reserv.  
0013951552  
Software management process application assessing method, involves  
selecting specified level of capability maturity model, assigning and  
recording rating assessing level of maturity in project  
Original Titles:  
Method for assessing software development maturity  
Local Applications (No Type Date): US 2002194168 A 20020712  
Priority Applications (no., kind, date): US 2002194168 A 20020712

14/3,K/6 (Item 6 from file: 350)  
DIALOG(R)File 350:Derwent WPIX  
(c) 2010 Thomson Reuters. All rts. reserv.

0015924694 - Drawing available  
WPI ACC NO: 2006-456334/200647  
XRPX Acc No: N2006-373383

Interactive application e.g. teleprocedure, developing system for e.g.  
electronic-government field, has engine executing prototype, teleprocedure,  
interfacing and teleprocedure management applications created by graphics editor  
Patent Assignee: GENIGRAPH (GENI-N); GENIGRAPH SAS (GENI-N)

Inventor: NICOLAS O J A

Patent Family (2 patents, 36 countries)

Patent Application

Number	Kind	Date	Number	Kind	Date	Update
FR 2879317	A1	20060616	FR 200413373	A	20041215	200647 B
EP 1672485	A1	20060621	EP 2005292645	A	20051213	200647 E

Priority Applications (no., kind, date): FR 200413373 A 20041215

Patent Details

Number	Kind	Lan	Pg	Dwg	Filing	Notes
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FR 2879317	A1	FR	21	7		
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EP 1672485	A1	FR				
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Regional Designated States,Original: AL AT BA BE BG CH CY CZ DE DK EE ES  
FI FR GB GR HR HU IE IS IT LI LT LU LV MC MK NL PL PT RO SE SI SK TR YU

Alerting Abstract ...an electronic-quality assurance field for  
dematerializing the procedures related to quality certifications such as  
ISO-9001 and CMM.

Class Codes

International Classification (+ Attributes)

IPC + Level Value Position Status Version

G06F-0009/ 44...

...G06Q-0090/ 00

14/3,K/7 (Item 7 from file: 350)  
DIALOG(R)File 350:Derwent WPIX  
(c) 2010 Thomson Reuters. All rts. reserv.

0015870304 - Drawing available  
WPI ACC NO: 2006-401980/200641  
XRPX Acc No: N2006-335065

Development program management method for product, involves defining rights  
to access and manage more product objects such that rights are individually  
defined for each object level user

Patent Assignee: XEROX CORP (XERO)

Inventor: CORNELL J S; CUPO K; GARDINER C M; LAVALLEE L F; MANDEL B P;

PAGANO R S; POTTER J T; RIZZOLO C D; SIMPSON R E; SMITH W R; STOKES R E

Patent Family (1 patents, 1 countries)

Patent		Application				
Number	Kind	Date	Number	Kind	Date	Update
US 20060117012	A1	20060601	US 20041260	A	20041201	200641 B

Priority Applications (no., kind, date): US 20041260 A 20041201

Patent Details

Number	Kind	Lan	Pg	Dwg	Filing	Notes
US 20060117012	A1	EN	80	50		

Class Codes

International Classification (+ Attributes)

IPC + Level Value Position Status Version

G06F-0007/00...

Original Abstracts:

...scheme, a parameter/requirements classification scheme, a parameter/requirements process and maturity model, and in-process and requirements conformance views. In one embodiment, the tool includes a user interface layer, a business layer, a data layer, and a...

Claims:

14/3,K/10 (Item 10 from file: 350)  
DIALOG(R)File 350:Derwent WPIX  
(c) 2010 Thomson Reuters. All rts. reserv.

0014214679 - Drawing available

WPI ACC NO: 2004-400425/200437

XRPX Acc No: N2004-319212

Adaptive filtering method for e.g. direct sequence-CDMA system, involves determining filter coefficients using parameters of modified steering vector of desired signal, and discrete time estimate of multipath channel effect

Patent Assignee: BARRON D S (BARR-I); GAITHER W R (GAIT-I); LIGON S R (LIGO-I); MYRICK W (MYRI-I); SCI APPL INT CORP (SCIT-N); SUD S (SUDS-I)

Inventor: BARRON D S; GAITHER W R; LIGON S R; MYRECK W L; MYRICK W; SUD S

Patent Family (7 patents, 100 countries)

Patent		Application				
Number	Kind	Date	Number	Kind	Date	Update
WO 2004040779	A2	20040513	WO 2003US33554	A	20031022	200437 B
US 20040098299	A1	20040520	US 2002421101	P	20021025	200437 E
			US 2003690657	A	20031023	
US 20040151266	A1	20040805	US 2002421101	P	20021025	200452 E
			US 2003689765	A	20031022	
AU 2003286602	A1	20040525	AU 2003286602	A	20031022	200468 E
WO 2004040779	A3	20071221			200802	E
AU 2003286602	A8	20080207	AU 2003286602	A	20031022	200837 E
US 7415065	B2	20080819	US 2002421101	P	20021025	200857 E
			US 2003689765	A	20031022	

Priority Applications (no., kind, date): US 2002421101 P 20021025; US

2003689765 A 20031022; US 2003690657 A 20031023

Patent Details

Number Kind Lan Pg Dwg Filing Notes

WO 2004040779 A2 EN 20 5

National Designated States,Original: AE AG AL AM AT AU AZ BA BB BG BR BY  
BZ CA CH CN CO CR CU CZ DE DK DM DZ EC EE ES FI GB GD GE GH GM HR HU ID  
IN IS JP KE KG KP KR KZ LC LK LR LS LT LU LV MA MD MG MK MN MW MX MZ NI  
NO NZ OM PH PL PT RO RU SC SD SE SG SK SL TJ TM TN TR TT TZ UA UG UZ VC  
VN YU ZA ZM ZW

Regional Designated States,Original: AT BE BG CH CY CZ DE DK EA EE ES FI  
FR GB GH GM GR HU IE IT KE LS LU MC MW MZ NL OA PT RO SD SE SI SK SL SZ  
TR TZ UG ZM ZW

US 20040098299 A1 EN Related to Provisional US 2002421101

US 20040151266 A1 EN Related to Provisional US 2002421101

AU 2003286602 A1 EN Based on OPI patent WO 2004040779

WO 2004040779 A3 EN

National Designated States,Original: AE AG AL AM AT AU AZ BA BB BG BR BY  
BZ CA CH CN CO CR CU CZ DE DK DM DZ EC EE ES FI GB GD GE GH GM HR HU ID  
IN IS JP KE KG KP KR KZ LC LK LR LS LT LU LV MA MD MG MK MN MW MX MZ NI  
NO NZ OM PH PL PT RO RU SC SD SE SG SK SL TJ TM TN TR TT TZ UA UG UZ VC  
VN YU ZA ZM ZW

Regional Designated States,Original: AT BE BG CH CY CZ DE DK EA EE ES FI  
FR GB GH GM GR HU IE IT KE LS LU MC MW MZ NL OA PT RO SD SE SI SK SL SZ  
TR TZ UG ZM ZW

AU 2003286602 A8 EN Based on OPI patent WO 2004040779

US 7415065 B2 EN Related to Provisional US 2002421101

Class Codes

International Classification (Main): G06F-017/60

Original Abstracts:

...for example, CMM-based models, or maturity model equivalents such as,  
contractor operational processes and ISO (International  
Standards Organization) requirements...

Claims:

14/3,K/11 (Item 11 from file: 350)  
DIALOG(R)File 350:Derwent WPIX  
(c) 2010 Thomson Reuters. All rts. reserv.

0014204759 - Drawing available  
WPI ACC NO: 2004-390377/200436  
XRPX Acc No: N2004-310709

Company maturity level approximating method for business practice, involves  
tracing company-specific work products to individual necessities of  
maturity model, and giving indicator of approximate maturity of company based on model

Patent Assignee: SCI APPL INT CORP (SCIT-N)

Inventor: BARRON D S; GAITHER W R; LIGON S R

Patent Family (3 patents, 99 countries)

Patent			Application			
Number	Kind	Date	Number	Kind	Date	Update
WO 2004040409	A2	20040513	WO 2003US33576	A	20031023	200436 B
AU 2003282996	A1	20040525	AU 2003282996	A	20031023	200468 E
AU 2003282996	A8	20051103	AU 2003282996	A	20031023	200629 E

Priority Applications (no., kind, date): US 2002421065 P 20021025

Patent Details

Number	Kind	Lan	Pg	Dwg	Filing	Notes
WO 2004040409	A2	EN	53	20		

National Designated States,Original: AE AG AL AM AT AU AZ BA BB BG BR BY BZ CA CH CN CO CR CU CZ DE DK DM DZ EC EE ES FI GB GD GE GH GM HR HU ID IN IS JP KE KG KP KR KZ LC LK LR LS LT LU LV MA MD MG MK MN MW MX MZ NI NO NZ OM PH PL PT RO RU SC SD SE SG SK SL TJ TM TN TR TT TZ UA UG UZ VC VN YU ZA ZM ZW

Regional Designated States,Original: AT BE BG CH CY CZ DE DK EA EE ES FI FR GB GH GM GR HU IE IT KE LS LU MC MW MZ NL OA PT SD SE SI SK SL SZ TR TZ UG ZM ZW

AU 2003282996	A1	EN	Based on OPI patent	WO 2004040409
AU 2003282996	A8	EN	Based on OPI patent	WO 2004040409

Alerting Abstract ...maturity level of a company in view of a maturity model using a maturity tracing system for certification of business practice.

...know-how of a company with the individual requirements for achieving desired levels within the maturity models. The method allows a user to evaluate the organization level of maturity with respect to...

...system and a method for approximating maturity level of a company in view of a maturity model.

Class Codes

International Classification (Main): G06F-017/00

International Classification (+ Attributes)

IPC + Level Value Position Status Version

G06Q-0010/00...

14/3,K/12 (Item 12 from file: 350)  
 DIALOG(R)File 350:Derwent WPIX  
 (c) 2010 Thomson Reuters. All rts. reserv.

0014074306 - Drawing available  
 WPI ACC NO: 2004-257438/200424  
 XRPX Acc No: N2004-204573

Decision analysis system for personal/asset security management, has decision group which organizes decision analysis process for decision task, by identifying decision analysis components and supplying appropriate models for each component

Patent Assignee: HAMILTON K (HAMI-I)

Inventor: HAMILTON K

Patent Family (4 patents, 103 countries)

Patent Number	Kind	Date	Application Number	Kind	Date	Update
WO 2004021147	A2	20040311	WO 2003US27320	A	20030829	200424 B
US 20040103058	A1	20040527	US 2002407550	P	20020830	200435 E
			US 2002409728	P	20020911	
			US 2003651387	A	20030829	
AU 2003268339	A1	20040319	AU 2003268339	A	20030829	200462 E
WO 2004021147	A3	20090716	WO 2003US27320	A	20030829	200947 E

Priority Applications (no., kind, date): US 2002407550 P 20020830; US 2002409728 P 20020911; US 2003651387 A 20030829

#### Patent Details

Number	Kind	Lan	Pg	Dwg	Filing	Notes
WO 2004021147	A2	EN	41	7		

National Designated States,Original: AE AG AL AM AT AU AZ BA BB BG BR BY BZ CA CH CN CO CR CU CZ DE DK DM DZ EC EE ES FI GB GD GE GH GM HR HU ID IL IN IS JP KE KG KP KR KZ LC LK LR LS LT LU LV MA MD MG MK MN MW MX MZ NI NO NZ OM PG PH PL PT RO RU SC SD SE SG SK SL SY TJ TM TN TR TT TZ UA UG UZ VC VN YU ZA ZM ZW

Regional Designated States,Original: AT BE BG CH CY CZ DE DK EA EE ES FI FR GB GH GM GR HU IE IT KE LS LU MC MW MZ NL OA PT RO SD SE SI SK SL SZ TR TZ UG ZM ZW

US 20040103058 A1 EN Related to Provisional US 2002407550  
Related to Provisional US 2002409728

AU 2003268339 A1 EN Based on OPI patent WO 2004021147  
WO 2004021147 A3 EN

National Designated States,Original: AE AG AL AM AT AU AZ BA BB BG BR BY BZ CA CH CN CO CR CU CZ DE DK DM DZ EC EE ES FI GB GD GE GH GM HR HU ID IL IN IS JP KE KG KP KR KZ LC LK LR LS LT LU LV MA MD MG MK MN MW MX MZ NI NO NZ OM PG PH PL PT RO RU SC SD SE SG SK SL SY TJ TM TN TR TT TZ UA UG UZ VC VN YU ZA ZM ZW

Regional Designated States,Original: AT BE BG CH CY CZ DE DK EE ES FI FR GB GR HU IE IT LU MC NL PT RO SE SI SK TR OA GH GM KE LS MW MZ SD SL SZ TZ UG ZM ZW EA

#### Technology Focus

INDUSTRIAL STANDARDS - The decision analysis system performs service management conforms to IT Infrastructure Library (ITIL) and British Standards Institute standard BS 15000 standard. The decision analysis system performs security management conforming to US NIST standard, SSE CMM and Carnegie Mellon Software Engineering Institute (SEI) specification.

#### Class Codes

International Classification (+ Attributes)

IPC + Level Value Position Status Version

G06Q-0010/00...

B. Full-Text Databases

? show files;ds;cost;logoff hold

File 348:EUROPEAN PATENTS 1978-201012

(c) 2010 European Patent Office

File 349:PCT FULLTEXT 1979-2010/UB= 20100325|UT= 20100318

(c) 2010 WIPO/Thomson

Set	Items	Description
S1	2295	MATURITY()(MODEL OR MODELS OR MODELING OR MODELLING) OR CMM OR CMMI OR FAA()ICMM OR PEMM OR KMMM OR PMMM OR EAMM
S2	2295	MATURITY()(MODEL OR MODELS OR MODELING OR MODELLING) OR CMM OR CMMI OR FAA()ICMM OR PEMM OR KMMM OR PMMM OR EAMM
S3	2190	PROCESS OR PROCESSES OR PRACTICE OR PRACTICES OR SYSTEM OR SYSTEMS OR OPERATION OR OPERATIONS OR PROGRAM OR PROGRAMS OR - PROCEDURE OR PROCEDURES
S4	861	CERTIFICATION OR CERTIFY??? OR CERTIFIES OR CERTIFIED OR C- COMPLIANCE OR COMPLY??? OR COMPLIES OR COMPLIED OR ADHERENCE OR ADHERING OR CONFORM?
S5	918	ISO OR ANSI OR ITU OR CCITT OR IISP OR ISPIC OR (GOVERNMENT?? OR INTERNATIONAL OR NATIONAL OR US OR UNITED()STATES)(1N)- (REQUIREMENT OR REQUIREMENTS OR STANDARD OR STANDARDS OR SPECIFICATIONS OR SPECS OR CRITERIA OR CRITERION OR OBJECTIVES)
S6	88	S3(2N)S4
S7	8	S2(S)S5(S)S6
S8	11	S2(S)S3(S)S4(S)S5
S9	11	S7 OR S8
S10	5	S9 AND IC= (G06F OR G06Q)

10/AN,AZ,TI/1 (Item 1 from file: 348)

DIALOG(R)File 348:(c) 2010 European Patent Office. All rts. reserv.  
02373057

Systems and methods for establishing authenticity of electronic records in  
an archives system

Systeme und Verfahren zur Herstellung der Authentizitat elektronischer  
Aufzeichnungen in einem Archivsystem

Systemes et procedes pour etabli l'authenticite de registres electroniques  
dans un systeme d'archive

APPLICATION (CC, No, Date): EP 2007251876 070504;

PRIORITY (CC, No, Date): US 797754 P 060505; US 802875 P 060524; US 797278  
P 070502

10/AN,AZ,TI/2 (Item 2 from file: 348)

DIALOG(R)File 348:(c) 2010 European Patent Office. All rts. reserv.  
02359528



Systems and methods for controlling access to electronic records in an archives system

Systeme und Verfahren zur Kontrolle des Zugriffes auf elektronische Aufzeichnungen in einem Archivsystem

Systemes et procedes pour controler l'acces aux registres electroniques dans un systeme d'archive

APPLICATION (CC, No, Date): EP 2007251905 070508;

PRIORITY (CC, No, Date): US 797754 P 060505; US 802875 P 060524

10/AN,AZ,TI/3 (Item 1 from file: 349)

DIALOG(R) File 349:(c) 2010 WIPO/Thomson. All rts. reserv.

01118514

DETERMINING PERFORMANCE LEVEL CAPABILITIES USING PREDETERMINED MODEL CRITERIA

SYSTEME ET PROCEDE DESTINES A DETERMINER DES CAPACITES DE NIVEAU DE PERFORMANCE SELON DES CRITERES DE MODELES PREDETERMINES

Application: WO 2003US33576 20031023 (PCT/WO US03033576)

10/AN,AZ,TI/4 (Item 2 from file: 349)

DIALOG(R) File 349:(c) 2010 WIPO/Thomson. All rts. reserv.

01099407

DECISION ANALYSIS SYSTEM AND METHOD

SYSTEME ET PROCEDE D'ANALYSE DECISIONNELLE

Application: WO 2003US27320 20030829 (PCT/WO US2003027320)

10/AN,AZ,TI/5 (Item 3 from file: 349)

DIALOG(R) File 349:(c) 2010 WIPO/Thomson. All rts. reserv.

00862508

METHOD AND SYSTEM FOR OUTSOURCING INFORMATION TECHNOLOGY PROJECTS AND SERVICES

PROCEDE ET SYSTEME D'IMPARTITION EN TECHNOLOGIE D'INFORMATION

Application: WO 2001US18621 20010607 (PCT/WO US0118621)

10/3,K/3 (Item 1 from file: 349)  
DIALOG(R)File 349:PCT FULLTEXT  
(c) 2010 WIPO/Thomson. All rts. reserv.

01118514 \*\*Image available\*\*

DETERMINING PERFORMANCE LEVEL CAPABILITIES USING PREDETERMINED MODEL  
CRITERIA

SYSTEME ET PROCEDE DESTINES A DETERMINER DES CAPACITES DE NIVEAU DE  
PERFORMANCE SELON DES CRITERES DE MODELES PREDETERMINEES

Patent Applicant/Assignee:

SCIENCE APPLICATIONS INTERNATIONAL CORPORATION, 10260 Campus Point Drive,  
San Diego, CA 92121, US, US (Residence), US (Nationality)

Inventor(s):

LIGON Steven R, 127 Connemara Drive, Sterling, VA 20164, US,  
GAITHER William R, 3184 Harvard Street, Woodbridge, VA 22192, US,  
BARRON Douglas S, 11653 Captain Rhett Lane, Fairfax Station, VA 22039, US,

Legal Representative:

MARCOU George (agent), Kilpatrick Stockton LLP, 607 Fourteenth St., N.W.,  
Suite 900, Washington, DC 20005, US,

Patent and Priority Information (Country, Number, Date):

Patent: WO 200440409 A2-A3 20040513 (WO 0440409)

Application: WO 2003US33576 20031023 (PCT/WO US03033576)

Priority Application: US 2002421065 20021025

Designated States:

(Protection type is "patent" unless otherwise stated - for applications prior to 2004)

AE AG AL AM AT AU AZ BA BB BG BR BY BZ CA CH CN CO CR CU CZ DE DK DM DZ  
EC EE ES FI GB GD GE GH GM HR HU ID IN IS JP KE KG KP KR KZ LC LK LR LS  
LT LU LV MA MD MG MK MN MW MX MZ NI NO NZ OM PH PL PT RO RU SC SD SE SG  
SK SL TJ TM TN TR TT TZ UA UG UZ VC VN YU ZA ZM ZW

(EP) AT BE BG CH CY CZ DE DK EE ES FI FR GB GR HU IE IT LU MC NL PT SE SI SK TR

(OA) BF BJ CF CG CI CM GA GN GQ GW ML MR NE SN TD TG

(AP) GH GM KE LS MW MZ SD SL SZ TZ UG ZM ZW

(EA) AM AZ BY KG KZ MD RU TJ TM

Publication Language: English

Filing Language: English

Fulltext Word Count: 7493

Main International Patent Class (v7): G06F-017/00

Fulltext Availability:

Detailed Description

... s processes

Process Type Table Identifies the type of process.

Organizational, Project,

Engineerin , Support

PTAbbrev Process Type e.g. 0 for "Organization"

Abbreviation

PtypTitle Process Type Title e.g. Organization

X Category Table Further decomposition o7 the

process type

PTAbbrev Process Type e.g. 0  
Abbreviation  
6

**< removed unnecessary information>**

a potential contractor seeking to bid on a particular High K, seeks to be certified at a level 3 of at least one of the many CMM-based maturity models. Alternatively, different contractors may require varying levels certification within a specific maturity model. Additionally, contractors, who have invested in supporting one maturity model, can use the features of the system and method described herein to initially assess their potential against one or more additional specific maturity models or standards embodied in the system of the present invention.

## **IV. Text Search Results from Dialog - NPL**

### **A. Abstract Databases**

? show files;ds;cost;logoff hold

File 471:New York Times Fulltext 1980-2010/Mar 26

(c) 2010 The New York Times

File 583:Gale Group Globalbase(TM) 1986-2002/Dec 13

(c) 2002 Gale/Cengage

File 474:New York Times Abs 1969-2010/Mar 29

(c) 2010 The New York Times

File 475:Wall Street Journal Abs 1973-2010/Mar 29

(c) 2010 The New York Times

File 35:Dissertation Abs Online 1861-2010/Feb

(c) 2010 ProQuest Info&Learning

File 65:Inside Conferences 1993-2010/Mar 17

(c) 2010 BLDSC all rts. reserv.

File 99:Wilson Appl. Sci & Tech Abs 1983-2010/Jan

(c) 2010 The HW Wilson Co.

File 256:TecTrends 1982-2010/Mar W4

(c) 2010 Info.Sources Inc. All rights res.

File 14:Mechanical and Transport Engineer Abstract 1966-2010/Feb

(c) 2010 CSA.

File 6:NTIS 1964-2010/Mar W4

(c) 2010 NTIS, Intl Cpyrght All Rights Res

File 8:Ei Compendex(R) 1884-2010/Mar W3

(c) 2010 Elsevier Eng. Info. Inc.

File 2:INSPEC 1898-2010/Mar W3

(c) 2010 The IET

File 34:SciSearch(R) Cited Ref Sci 1990-2010/Mar W3

(c) 2010 The Thomson Corp

File 434:SciSearch(R) Cited Ref Sci 1974-1989/Dec

(c) 2006 The Thomson Corp

File 7:Social SciSearch(R) 1972-2010/Mar W3

(c) 2010 The Thomson Corp

Set	Items	Description
S1	11752	MATURITY()(MODEL OR MODELS OR MODELING OR MODELLING) OR CMM OR CMMI OR FAA()ICMM OR PEMM OR KMMM OR PMMM OR EAMM
S2	11752	MATURITY()(MODEL OR MODELS OR MODELING OR MODELLING) OR CMM OR CMMI OR FAA()ICMM OR PEMM OR KMMM OR PMMM OR EAMM
S3	7915	PROCESS OR PROCESSES OR PRACTICE OR PRACTICES OR SYSTEM OR SYSTEMS OR OPERATION OR OPERATIONS OR PROGRAM OR PROGRAMS OR - PROCEDURE OR PROCEDURES
S4	609	CERTIFICATION OR CERTIFY??? OR CERTIFIES OR CERTIFIED OR C-OMPLIANCE OR COMPLY??? OR COMPLIES OR COMPLIED OR ADHERENCE OR ADHERING OR CONFORM?

S5 728 ISO OR ANSI OR ITU OR CCITT OR IISP OR ISPIC OR (GOVERNMENT?? OR INTERNATIONAL OR NATIONAL OR US OR UNITED()STATES)(1N)-(REQUIREMENT OR REQUIREMENTS OR STANDARD OR STANDARDS OR SPECIFICATIONS OR SPECS OR CRITERIA OR CRITERION OR OBJECTIVES)  
 S6 121 S3(2N)S4  
 S7 32 S2(S)S5(S)S6  
 S8 15 S7 NOT (PY> 2002 OR PD= 20021026:20021231)  
 S9 12 RD (unique items)

9/6/1 (Item 1 from file: 583)  
 09704091  
 Thai software goes for quality  
 Thailand: Certification standard for software sector  
 13 Feb 2002

9/6/2 (Item 1 from file: 14)  
 0001654818 IP ACCESSION NO: 200806-10-784608  
 Experiences with CMM and ISO 9001 benchmarks.  
 PUBLICATION DATE: 1999

9/6/3 (Item 2 from file: 14)  
 0001199241 IP ACCESSION NO: 200804-10-435892  
 Iso 9000 and/or systems engineering capability maturity model?  
 PUBLICATION DATE: 2002

9/6/4 (Item 1 from file: 6)  
 2252938 NTIS Accession Number: ADA407766/XAB  
 Using CMMI to Improve Earned Value Management (Technical note)  
 Oct 2002

9/6/5 (Item 1 from file: 2)  
 07818660  
 Title: A process model for certification of product and process  
 Book Title: Challenges of Information Technology Management in the 21st Century. 2000 Information Resources Management Association International Conference  
 Publication Date: 2000  
 INSPEC Update Issue: 2001-003  
 Copyright: 2001, IEE

9/6/6 (Item 2 from file: 2)  
 07796048  
 Title: Hybrid multi-model assessment (HM2) - when the CMM meets ISO 9001

Book Title: Sixteenth Annual Pacific Northwest Software Quality Conference  
Joint ASQ Software Division's Eighth International Conference on Software Quality  
Publication Date: 1998  
INSPEC Update Issue: 2000-050  
Copyright: 2000, IEE

9/6/7 (Item 3 from file: 2)  
07796033

Title: Process synergy: using ISO 9000 and the CMM in a small development environment  
Book Title: Sixteenth Annual Pacific Northwest Software Quality Conference  
Joint ASQ Software Division's Eighth International Conference on Software Quality  
Publication Date: 1998  
INSPEC Update Issue: 2000-050  
Copyright: 2000, IEE

9/6/8 (Item 4 from file: 2)  
07559592

Title: Conformance analysis of the tailored CMM with ISO/IEC 15504  
Book Title: International Conference on Product Focused Software Process  
Improvement (VTT Symposium 195)  
Publication Date: 1999  
INSPEC Update Issue: 2000-014  
Copyright: 2000, IEE

9/6/9 (Item 5 from file: 2)  
06770714

Title: ISO 9000 versus CMM: standardization and certification of IS development  
Publication Date: 1 Nov. 1997  
INSPEC Update Issue: 1997-048  
Copyright: 1997, IEE

9/6/10 (Item 6 from file: 2)  
06715602

Title: ISO versus CMM: standardization and certification of IS development  
Book Title: Information Technology Management and Organizational  
Innovations. Proceedings of the 1996 Information Resources Management  
Association International Conference  
Publication Date: 1996  
INSPEC Update Issue: 1997-040  
Copyright: 1997, IEE

9/6/11 (Item 7 from file: 2)  
06644340

Title: Software development process standards: challenges for process assurance  
Book Title: Proceedings. Third IEEE International Software Engineering  
Standards Symposium and Forum (ISESS 97) Emerging International  
Standards, in conjunction with The Software Process Improvement and  
Capability Determination Symposium (Cat. No.97TB100121)  
Publication Date: 1997  
INSPEC Update Issue: 1997-029  
Copyright: 1997, IEE

9/6/12 (Item 8 from file: 2)  
06486384  
Title: Software process maturity and certification  
Publication Date: 31 Dec. 1996  
INSPEC Update Issue: 1997-005  
Copyright: 1997, IEE

9/3,K/2 (Item 1 from file: 14)  
DIALOG(R)File 14:Mechanical and Transport Engineer Abstract  
(c) 2010 CSA. All rts. reserv.

0001654818 IP ACCESSION NO: 200806-10-784608  
Experiences with CMM and ISO 9001 benchmarks.  
Haskell, Joe; Decker, William; McGarry, Frank  
Computer Sciences Corp., System Engineering and Analysis Support Center,  
Greenbelt, MD USA  
STAR, v 37, 12 Apr. 1999  
PUBLICATION DATE: 1999  
PUBLISHER: NASA, Suite 1M32, Washington, DC, 20546-0001  
COUNTRY OF PUBLICATION: USA  
PUBLISHER URL: <http://www.nasa.gov>  
PUBLISHER EMAIL: [public-inquiries@hq.nasa.gov](mailto:public-inquiries@hq.nasa.gov)  
DOCUMENT TYPE: Journal Article (Abstract Only)  
RECORD TYPE: Abstract  
LANGUAGE: English  
ISSN: 1548-8837  
REPORT NO: 19990025796  
FILE SEGMENT: Mechanical & Transportation Engineering Abstracts  
ABSTRACT: The use of industry benchmarks to measure process maturity and process compliance has increased significantly in recent years. Two widely applied benchmarks are the Software Engineering Institute's (SEI's) Capability Maturity Model (CMM) and a set of quality standards developed by the International Standards Organization (ISO). Although there is disagreement regarding the value and application of these benchmarks, many Government organizations...

...contractors. Thus, it is becoming increasingly important for suppliers of products and services to become ISO registered and CMM compliant to satisfy criteria stipulated by potential customers. CSC's SEAS Center attained ISO 9001 registration in May 1997. In November 1997, the SEAS Center was rated at CMM Level 3 based on a Software Capability Evaluation (SCE), with several Level 4-5 key process areas (KPA's) also satisfied. Information regarding the activities and effort to attain ISO registration and CMM Level 3 compliance was collected from SEAS Center participants. Also collected was participants' opinions regarding...

...information served as a basis for determining (1) the SEAS Center resources required to attain ISO registration and CM Level 3 compliance and (2) the impact that pursuit of each of...

...services to its customers. This paper documents the experiences of the SEAS Center in pursuing CMM compliance and ISO 9001 registration. It is based entirely on information collected from SEAS Center personnel who planned and participated in the successful ISO



9001 registration and SCE efforts. Other organizations contemplating an SCE and/or ISO registration should find the experiences of the SEAS Center useful in planning their effort.

9/3,K/4 (Item 1 from file: 6)  
DIALOG(R)File 6:NTIS  
(c) 2010 NTIS, Intl Cpyrght All Rights Res. All rts. reserv.

2252938 NTIS Accession Number: ADA407766/XAB

Using CMMI to Improve Earned Value Management (Technical note)

Solomon, P.

Carnegie-Mellon University, Pittsburgh, PA. Software Engineering Inst.

Corp. Source Codes: 005343014; 416208

Report Number: CMU/SEI-2002-TN-016

Oct 2002 34p

Languages: English

Journal Announcement: USGRDR0307

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NTIS Prices: PC A04/MF A01

For organizations using Earned Value Management (EVM) or that plan to implement EVM during Capability Maturity Model Integration (CMMI) implementation, this technical note provides guidance for cost-effective process improvement and appraisal. Mapping and comparison tables between CMMI and the U. S. national standard on EVM are provided. These tables can be used to identify practices within CMMI that are not included in the EVM standard but, if added to an organization's processes, will strengthen adherence to EVM principles. The tables also can be used to develop instruments that will provide...

... typical work products, and examples are included in this technical note to aid those using CMMI for process improvement. For organizations using technical performance measurement, a primary base measure for earned...

9/3,K/5 (Item 1 from file: 2)  
DIALOG(R)File 2:INSPEC  
(c) 2010 The IET. All rts. reserv.

07818660

Title: A process model for certification of product and process

Author(s): Leung, H.; Li, V.

Author Affiliation: Dept. of Comput., Hong Kong Polytech., Hung Hom, China

Book Title: Challenges of Information Technology Management in the 21st

Century. 2000 Information Resources Management Association International Conference

Inclusive Page Numbers: 96-102  
Publisher: Idea Group Publishing, Hershey, PA  
Country of Publication: USA  
Publication Date: 2000  
Conference Title: Proceedings of 2000 Information Resources Management Association International Conference  
Conference Date: 21-24 May 2000  
Conference Location: Anchorage, AK, USA  
ISBN: 1 878289 84 5  
Number of Pages: 1227  
Language: English  
Subfile(s): C (Computing & Control Engineering)  
INSPEC Update Issue: 2001-003  
Copyright: 2001, IEE

Abstract: ...the software product itself. There are many different certification schemes, such as ISO 9001 and CMM for development process, and Y2K compliance for software product. The paper first identifies two process models, one for process certification and another for product certification. We then propose a certification process for a commercial off-the-shelf (COTS) product and its development process. Finally, a generalized model of certification process (GCM) for both product certification and development process certification is developed. Example certification schemes are then mapped to this model to illustrate its validity...

...basis to develop a certification scheme for particular application domains or to validate a particular certification process

9/3,K/6 (Item 2 from file: 2)  
DIALOG(R)File 2:INSPEC  
(c) 2010 The IET. All rts. reserv.

07796048

Title: Hybrid multi-model assessment (HM2) - when the CMM meets ISO 9001  
Author(s): Bamford, R.C.; Deibler, W.J., II  
Author Affiliation: Software Syst. Quality Consulting, San Jose, CA, USA  
Book Title: Sixteenth Annual Pacific Northwest Software Quality Conference  
Joint ASQ Software Division's Eighth International Conference on Software Quality  
Inclusive Page Numbers: 542-53  
Publisher: PNSQC, Portland, OR  
Country of Publication: USA  
Publication Date: 1998  
Conference Title: Sixteenth Annual Pacific Northwest Software Quality Conference Joint with ASQ Software Division's Eighth International Conference on Software Quality  
Conference Date: 13-14 Oct. 1998

Conference Location: Portland, OR, USA

Number of Pages: vi+ 562

Language: English

Subfile(s): C (Computing & Control Engineering)

INSPEC Update Issue: 2000-050

Copyright: 2000, IEE

Abstract: ...many small and medium sized software engineering organizations are exploring methods to exploit these models - ISO 9001 and the CMM - for process definition and improvement. While significant work has been done in defining methods for...

9/3,K/10 (Item 6 from file: 2)

DIALOG(R)File 2:INSPEC

(c) 2010 The IET. All rts. reserv.

06715602

Title: ISO versus CMM: standardization and certification of IS development

Author(s): van der Pijl, G.J.; Verrijdt, J.G.; Swinkels, G.J.P.

Author Affiliation: Tilburg Univ., Netherlands

Book Title: Information Technology Management and Organizational Innovations. Proceedings of the 1996 Information Resources Management Association International Conference

Inclusive Page Numbers: 240-4

Publisher: Idea Group Publishing, Harrisburg, PA

Country of Publication: USA

Publication Date: 1996

Conference Title: Proceedings of Information Resources Management Association International Conference

Conference Date: 19-22 May 1996

Conference Location: Washington, DC, USA

Editor(s): Khosrowpour, M.

Number of Pages: 470

Language: English

Subfile(s): C (Computing & Control Engineering)

INSPEC Update Issue: 1997-040

Copyright: 1997, IEE

Abstract: ...certified In this paper, two well-known quality standards, ISO 9000-3 and the Capability Maturity Model (CMM), are compared. It is concluded that both standards are useful but there is a growing...

9/3,K/12 (Item 8 from file: 2)

DIALOG(R)File 2:INSPEC

(c) 2010 The IET. All rts. reserv.

06486384

Title: Software process maturity and certification

Author(s): Bicego, A.; Kuvaja, P.  
Author Affiliation: Etnoteam S.p.A., Milano, Italy  
Journal: Journal of Systems Architecture, vol.42, no.8, pp.611-20  
Publisher: Elsevier  
Country of Publication: Netherlands  
Publication Date: 31 Dec. 1996  
ISSN: 1383-7621  
SICI: 1383-7621(19961231)42:8L.611:SPMC;1-#  
CODEN: JSARFB  
Document Number: S1383-7621(96)00045-8  
U.S. Copyright Clearance Center Code: 1383-7621/96/\$15.00  
Language: English  
Subfile(s): C (Computing & Control Engineering)  
INSPEC Update Issue: 1997-005  
Copyright: 1997, IEE  
Abstract: The paper introduces the concepts of process maturity and certification by presenting some of the main existing approaches and standards in this area. First, with the ISO 9000 family, the concept of a quality system and its certification is presented. Then the concept of process maturity is introduced through a short description of the objectives and the main technical characteristics of the Capability Maturity Model (CMM) developed by the Software Engineering Institute (SEI). An introduction to SPICE follows explaining the background...

## B. Full-text Databases

? show files;ds;cost;logoff hold

File 634:San Jose Mercury Jun 1985-2010/Mar 26

(c) 2010 San Jose Mercury News

File 610:Business Wire 1999-2010/Mar 29

(c) 2010 Business Wire.

File 613:PR Newswire 1999-2010/Mar 29

(c) 2010 PR Newswire Association Inc

File 810:Business Wire 1986-1999/Feb 28

(c) 1999 Business Wire

File 813:PR Newswire 1987-1999/Apr 30

(c) 1999 PR Newswire Association Inc

File 20:Dialog Global Reporter 1997-2010/Mar 29

(c) 2010 Dialog

File 9:Business & Industry(R) Jul/1994-2010/Mar 27

(c) 2010 Gale/Cengage

File 15:ABI/Inform(R) 1971-2010/Mar 27

(c) 2010 ProQuest Info&Learning

File 16:Gale Group PROMT(R) 1990-2010/Mar 27

(c) 2010 Gale/Cengage

File 148:Gale Group Trade & Industry DB 1976-2010/Mar 27

(c) 2010 Gale/Cengage

File 160:Gale Group PROMT(R) 1972-1989

(c) 1999 The Gale Group

File 275:Gale Group Computer DB(TM) 1983-2010/Feb 17

(c) 2010 Gale/Cengage

File 621:Gale Group New Prod.Annou.(R) 1985-2010/Feb 08

(c) 2010 Gale/Cengage

File 636:Gale Group Newsletter DB(TM) 1987-2010/Feb 23

(c) 2010 Gale/Cengage

File 624:McGraw-Hill Publications 1985-2010/Mar 29

(c) 2010 McGraw-Hill Co. Inc

Set	Items	Description
S1	56144	MATURITY()(MODEL OR MODELS OR MODELING OR MODELLING) OR CMM OR CMMI OR FAA()ICMM OR PEMM OR KMMM OR PMMM OR EAMM
S2	56144	MATURITY()(MODEL OR MODELS OR MODELING OR MODELLING) OR CMM OR CMMI OR FAA()ICMM OR PEMM OR KMMM OR PMMM OR EAMM
S3	46443	PROCESS OR PROCESSES OR PRACTICE OR PRACTICES OR SYSTEM OR SYSTEMS OR OPERATION OR OPERATIONS OR PROGRAM OR PROGRAMS OR - PROCEDURE OR PROCEDURES
S4	24469	CERTIFICATION OR CERTIFY??? OR CERTIFIES OR CERTIFIED OR C-OMPLIANCE OR COMPLY??? OR COMPLIES OR COMPLIED OR ADHERENCE OR ADHERING OR CONFORM?
S5	14119	ISO OR ANSI OR ITU OR CCITT OR IISP OR ISPIC OR (GOVERNMENT?? OR INTERNATIONAL OR NATIONAL OR US OR UNITED()STATES)(1N)-

(REQUIREMENT OR REQUIREMENTS OR STANDARD OR STANDARDS OR SPECIFICATIONS OR SPECS OR CRITERIA OR CRITERION OR OBJECTIVES)

S6 3408 S3(2N)S4  
S7 768 S2(S)S5(S)S6  
S8 715 S2(10N)S5(10N)S6  
S9 589 S2(3N)S5(3N)S6  
S10 52702 COMPANY OR FIRM OR BUSINESS() ENTITY OR CORPORATION OR CORPORATION OR ENTITY OR ORGANIZATION OR BUSINESS OR ENTERPRISE  
S11 158 S9(S)S10  
S12 56 S9(10N)S10  
S13 79 S9(20N)S10  
S14 17 S13 NOT (PY>2002 OR PD=20021026:20021231)  
S15 10 RD (unique items)  
S16 90 S11 NOT S13  
S17 58 S16 NOT (PY>2002 OR PD=20021026:20021231)  
S18 40 RD (unique items)

18/6/1 (Item 1 from file: 613)  
00842071 20021022NYTU088 (USE FORMAT 7 FOR FULLTEXT)  
Intelligroup Inc. Invites You to Join its 3Q Conference Call  
Tuesday, October 22, 2002 17:28 EDT  
WORD COUNT: 603

18/6/2 (Item 2 from file: 613)  
00840084 20021018NYF080 (USE FORMAT 7 FOR FULLTEXT)  
Intelligroup and i-STT Collaborate to Host and Deploy Solution  
Friday, October 18, 2002 15:34 EDT  
WORD COUNT: 1,133

18/6/3 (Item 3 from file: 613)  
00835899 20021010NYTH134 (USE FORMAT 7 FOR FULLTEXT)  
New Version of Intelligroup Optimizer Service  
Thursday, October 10, 2002 15:36 EDT  
WORD COUNT: 640

18/6/4 (Item 4 from file: 613)  
00811877 20020815NYTH148 (USE FORMAT 7 FOR FULLTEXT)  
Intelligroup's Accelerated Oracle 11i Implementation  
Thursday, August 15, 2002 15:28 EDT  
WORD COUNT: 675

18/6/5 (Item 5 from file: 613)  
00809908 20020813NYTU063 (USE FORMAT 7 FOR FULLTEXT)

Intelligroup Reports Second Quarter and Six-Month 2002  
Tuesday, August 13, 2002 08:35 EDT  
WORD COUNT: 1,688

18/6/6 (Item 6 from file: 613)  
00803875 20020730NYTU164 (USE FORMAT 7 FOR FULLTEXT)  
Intelligroup, Inc. Invites You to Join Its Second Quarter  
Tuesday, July 30, 2002 18:41 EDT  
WORD COUNT: 601

18/6/7 (Item 7 from file: 613)  
00780795 20020612NYW081 (USE FORMAT 7 FOR FULLTEXT)  
Electroglas Selects Intelligroup for Application Development  
Wednesday, June 12, 2002 10:00 EDT  
WORD COUNT: 660

18/6/8 (Item 8 from file: 613)  
00767902 20020516CHTH007 (USE FORMAT 7 FOR FULLTEXT)  
Petron Corporation Deploys Intelligroup's Upgrade Services  
Thursday, May 16, 2002 08:02 EDT  
WORD COUNT: 760

18/6/9 (Item 9 from file: 613)  
00763513 20020508NYW158 (USE FORMAT 7 FOR FULLTEXT)  
Eastman Chemical Company Selects Intelligroup for Strategic  
Wednesday, May 8, 2002 14:44 EDT  
WORD COUNT: 599

18/6/10 (Item 10 from file: 613)  
00759934 20020502NYTH162 (USE FORMAT 7 FOR FULLTEXT)  
Intelligroup's Annual Meeting of Shareholders Rescheduled  
Thursday, May 2, 2002 16:13 EDT  
WORD COUNT: 417

18/6/11 (Item 11 from file: 613)  
00759377 20020502NYTH050 (USE FORMAT 7 FOR FULLTEXT)  
Intelligroup Reports First Quarter 2002 Results  
Thursday, May 2, 2002 08:32 EDT  
WORD COUNT: 1,252

18/6/12 (Item 12 from file: 613)

00749102 20020416NYTU103 (USE FORMAT 7 FOR FULLTEXT)  
Intelligroup, Inc. Invites You to Its 1Q Conference Call  
Tuesday, April 16, 2002 15:08 EDT  
WORD COUNT: 613

18/6/13 (Item 13 from file: 613)  
00747402 20020412NYF098 (USE FORMAT 7 FOR FULLTEXT)  
Intelligroup's Annual Meeting of Shareholders 6/6/02  
Friday, April 12, 2002 14:58 EDT  
WORD COUNT: 405

18/6/14 (Item 14 from file: 613)  
00744232 20020408NYM089 (USE FORMAT 7 FOR FULLTEXT)  
Silverline Announces Shift Of Management Function To India  
Monday, April 8, 2002 09:09 EDT  
WORD COUNT: 923

18/6/15 (Item 15 from file: 613)  
00716888 20020212NYTU042 (USE FORMAT 7 FOR FULLTEXT)  
Intelligroup Reports Fourth Quarter  
Tuesday, February 12, 2002 08:12 EST  
WORD COUNT: 1,438

18/6/16 (Item 16 from file: 613)  
00691341 20011217NYM040 (USE FORMAT 7 FOR FULLTEXT)  
Silverline Enters Global Professional Services and Dev.  
Monday, December 17, 2001 09:00 EST  
WORD COUNT: 852

18/6/17 (Item 17 from file: 613)  
00684157 20011203NYM067 (USE FORMAT 7 FOR FULLTEXT)  
Silverline Helps Arrow Electronics Redesign Web Site  
Monday, December 3, 2001 10:06 EST  
WORD COUNT: 1,074

18/6/18 (Item 18 from file: 613)  
00683055 20011129NYTH078 (USE FORMAT 7 FOR FULLTEXT)  
Silverline Awarded Pact for Smart Chip Technologies  
Thursday, November 29, 2001 12:08 EST  
WORD COUNT: 1,101



18/6/19 (Item 19 from file: 613)  
00680122 20011121NYW046 (USE FORMAT 7 FOR FULLTEXT)  
Silverline Technologies Posts Revenues of \$39.7 million  
Wednesday, November 21, 2001 14:08 EST  
WORD COUNT: 1,872

18/6/20 (Item 20 from file: 613)  
00680121 20011121NYW045 (USE FORMAT 7 FOR FULLTEXT)  
Silverline Sets Up Dedicated Offshore Development Team  
Wednesday, November 21, 2001 14:09 EST  
WORD COUNT: 1,037

18/6/21 (Item 21 from file: 613)  
00674325 20011112NYM012 (USE FORMAT 7 FOR FULLTEXT)  
Silverline Web Application Helps Launch John Deere  
Monday, November 12, 2001 09:00 EST  
WORD COUNT: 931

18/6/22 (Item 22 from file: 613)  
00654926 20011010NYW035 (USE FORMAT 7 FOR FULLTEXT)  
Silverline's GuestLogix Services Engine to Power AskinFlight'II  
Wednesday, October 10, 2001 09:04 EDT  
WORD COUNT: 1,009

18/6/23 (Item 23 from file: 613)  
00651679 20011003NYW091 (USE FORMAT 7 FOR FULLTEXT)  
Silverline Develops Next-Generation Wireless Content Solution  
Wednesday, October 3, 2001 13:59 EDT  
WORD COUNT: 1,037

18/6/24 (Item 24 from file: 613)  
00646320 20010924NYM116 (USE FORMAT 7 FOR FULLTEXT)  
Silverline Implements Point of Sale and Order Systemsment  
Monday, September 24, 2001 17:47 EDT  
WORD COUNT: 1,037

18/6/25 (Item 25 from file: 613)  
00607770 20010712NYTH086 (USE FORMAT 7 FOR FULLTEXT)  
All Resolutions Passed Unanimously by Shareholders at Silverline's Annual  
General Meeting  
Thursday, July 12, 2001 14:18 EDT  
WORD COUNT: 828

18/6/26 (Item 1 from file: 20)  
25633336 (USE FORMAT 7 OR 9 FOR FULLTEXT)  
Webcast Alert: Intelligroup Inc. Invites You to Join its Third Quarter 2002  
Earnings Conference Call on the Web  
October 22, 2002  
WORD COUNT: 586

18/6/27 (Item 2 from file: 20)  
25569346 (USE FORMAT 7 OR 9 FOR FULLTEXT)  
Intelligroup and i-STT Collaborate to Host and Deploy Pre-Configured SAP  
Pharmaceutical Solution  
October 18, 2002  
WORD COUNT: 1053

18/6/28 (Item 3 from file: 20)  
25424552 (USE FORMAT 7 OR 9 FOR FULLTEXT)  
New Version of Intelligroup Optimizer Service Cuts Upgrade Costs And Time  
by Up to 40 Percent  
October 10, 2002  
WORD COUNT: 617

18/6/29 (Item 4 from file: 20)  
24435900 (USE FORMAT 7 OR 9 FOR FULLTEXT)  
Intelligroup's Accelerated Oracle 11i Implementation Streamlines Business  
Operations for Terracon  
August 15, 2002  
WORD COUNT: 650

18/6/30 (Item 5 from file: 20)  
24388637 (USE FORMAT 7 OR 9 FOR FULLTEXT)  
Intelligroup Reports Second Quarter and Six-Month 2002 Results Reports 8%  
Sequential Revenue Growth  
August 13, 2002  
WORD COUNT: 1665

18/6/31 (Item 6 from file: 20)  
24158267 (USE FORMAT 7 OR 9 FOR FULLTEXT)  
Intelligroup, Inc. Invites You to Join Its Second Quarter 2002 Earnings  
Conference Call on the Web  
July 30, 2002  
WORD COUNT: 586

18/6/32 (Item 7 from file: 20)  
23328328 (USE FORMAT 7 OR 9 FOR FULLTEXT)  
Electroglas Selects Intelligroup for Application Development Services  
June 12, 2002  
WORD COUNT: 636

18/6/33 (Item 8 from file: 20)  
22831138 (USE FORMAT 7 OR 9 FOR FULLTEXT)  
Petron Corporation Deploys Intelligroup's Upgrade Services to Size and  
Analyze SAP Upgrade Requirements  
May 16, 2002  
WORD COUNT: 736

18/6/34 (Item 9 from file: 20)  
22686506 (USE FORMAT 7 OR 9 FOR FULLTEXT)  
Eastman Chemical Company Selects Intelligroup for Strategic Application  
Management and Assistance  
May 08, 2002  
WORD COUNT: 577

18/6/35 (Item 10 from file: 20)  
22595508 (USE FORMAT 7 OR 9 FOR FULLTEXT)  
Intelligroup's Annual Meeting of Shareholders Rescheduled to July 2, 2002  
May 02, 2002  
WORD COUNT: 401

18/6/36 (Item 11 from file: 20)  
22283717 (USE FORMAT 7 OR 9 FOR FULLTEXT)  
Intelligroup, Inc. Invites You to Join Its First Quarter 2002 Earnings  
Conference Call on the Web  
April 16, 2002  
WORD COUNT: 597

18/6/37 (Item 12 from file: 20)  
22222246 (USE FORMAT 7 OR 9 FOR FULLTEXT)  
Intelligroup's Annual Meeting of Shareholders to Be Held on June 6, 2002  
April 12, 2002  
WORD COUNT: 389

18/6/38 (Item 13 from file: 20)  
21224332 (USE FORMAT 7 OR 9 FOR FULLTEXT)  
Intelligroup Reports Fourth Quarter and Year-End 2001 Results

February 12, 2002  
WORD COUNT: 1414

18/6/39 (Item 14 from file: 20)  
17101137 (USE FORMAT 7 OR 9 FOR FULLTEXT)  
Corechange and Silverline Technologies Partner to Build Portal Solutions  
That Improve Clients' Interactions With Customers, Suppliers and  
Business Partners  
June 07, 2001  
WORD COUNT: 782

18/6/40 (Item 1 from file: 16)  
10024038 Supplier Number: 90825756 (USE FORMAT 7 FOR FULLTEXT)  
Intelligroup's Accelerated Oracle 11i Implementation Streamlines Business  
Operations for Terracon; Provides End-to-End Solution and Cost-effective,  
High-quality Implementation.  
August 15, 2002  
Word Count: 717

18/3,K/2 (Item 2 from file: 613)  
DIALOG(R)File 613:PR Newswire  
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00840084 20021018NYF080 (USE FORMAT 7 FOR FULLTEXT)  
Intelligroup and i-STT Collaborate to Host and Deploy Solution  
PR Newswire  
Friday, October 18, 2002 15:34 EDT  
JOURNAL CODE: PR LANGUAGE: ENGLISH RECORD TYPE: FULLTEXT  
DOCUMENT TYPE: NEWSWIRE  
WORD COUNT: 1,133  
... Intelligroup, Inc. enables real-time enterprise computing through the development, implementation and support of integrated industry-specific enterprise, e-commerce and m-commerce software applications.  
  
...ownership of mission-critical applications. The offshore facilities of Intelligroup are assessed at SEI/SW-CMM Level 5 and ISO 9001 certified. Intelligroup has operations in the Americas, UK, Sweden, Denmark, India, Australia, New Zealand, Japan, Hong Kong, Singapore and...

18/3,K/3 (Item 3 from file: 613)  
DIALOG(R)File 613:PR Newswire  
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00835899 20021010NYTH134 (USE FORMAT 7 FOR FULLTEXT)  
New Version of Intelligroup Optimizer Service  
PR Newswire  
Thursday, October 10, 2002 15:36 EDT  
JOURNAL CODE: PR LANGUAGE: ENGLISH RECORD TYPE: FULLTEXT  
DOCUMENT TYPE: NEWSWIRE  
WORD COUNT: 640  
TEXT:  
...Services, go to <http://www.power-upservices.com>.

#### About Intelligroup

Intelligroup, Inc. enables real-time enterprise computing through the development, implementation and support of integrated industry-specific enterprise, e-commerce and m-commerce software applications. Intelligroup's high-quality onsite/offshore delivery model...

...ownership of mission-critical applications. The offshore facilities of Intelligroup are assessed at SEI/SW-CMM Level 5 and ISO 9001 certified. Intelligroup has operations in the Americas, UK, Sweden, Denmark, India, Australia, New Zealand, Japan, Hong Kong, Singapore and...

18/3,K/16 (Item 16 from file: 613)  
DIALOG(R)File 613:PR Newswire  
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00691341 20011217NYM040 (USE FORMAT 7 FOR FULLTEXT)

Silverline Enters Global Professional Services and Dev.

PR Newswire

Monday, December 17, 2001 09:00 EST

JOURNAL CODE: PR LANGUAGE: ENGLISH RECORD TYPE: FULLTEXT

DOCUMENT TYPE: NEWSWIRE

WORD COUNT: 852

TEXT: ...R) Technologies Limited (NYSE: SLT, BSE: SLVR), is an international software development and integration services firm, with over 1,850 software professionals world-wide as of September 30, 2001.

... The CIT ePayment division of Silverline specializes in the emerging world of Internet/Intranet enterprise architecture, transactional-based software and eCommerce technologies. CIT's mission is to enable its clients to capitalize on the business potential of emerging eCommerce technologies. By applying the principles of its proven methodology and the...

...development facilities located close to clients throughout North America, Europe and Asia Pacific. With SEI CMM Level 4 and ISO 9001 certified processes, Silverline uses this Global Delivery Model to provide superior service, accelerated delivery and significant cost...

## **V. Additional Resources Searched**

Searches were done in two template files not available through DIALOG, the Internet and Personal Computing Abstracts and the Financial Times, but there were no results.